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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Warren K. Volles
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Warren K. Volles
Signature

January 21, 2004
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

PATEL ET AL.

APPLICATION NO: 10/661,893

FILED: SEPTEMBER 12, 2003

FOR: STEREOSELECTIVE REDUCTION OF SUBSTITUTED OXO-
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INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Bristol-Myers Squibb Company
Patent Department
P.O. Box 4000
Princeton, NJ 08543-4000
(203) 677-6997



Warren Volles
Attorney for Applicants
Reg. No. 33,810

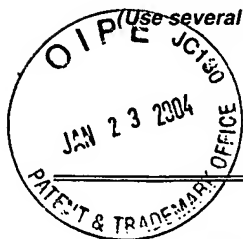
Date: January 21, 2004

FORM PTO-1449
(REV. 7-85)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.
GY56 CNT
APPLICATION NO.
10/661,893
APPLICANT
PATEL ET AL.
FILING DATE
SEPTEMBER 12, 2003

Group



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	5,391,495	2/21/95	Patel et al.			
	AB	5,849,911	12/15/98	Fassler et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM						<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	Zhou et al. (1983) Journal of American Chemical Society, Vol. 105, Pgs. 5926-5928
	AS	Kazutoshi et al. (1986) Tetrahedron Letters, Vol. 27, No. 23, Pgs. 2657-2660
	AT	Christen et al. (1988) Journal of Chem. Soc., Chem. Comm., Pgs. 264-266

EXAMINER

DATE CONSIDERED

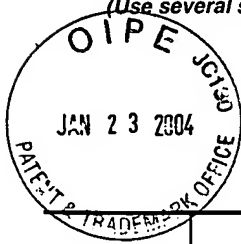
*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449
(REV. 7-85)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE CITATION**

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ATTY. DOCKET NO.
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PATEL ET AL.
FILING DATE
SEPTEMBER 12, 2003

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**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent pages, Etc.)

AA	Tricone et al. (1990) Biotechnology and Bioengineering, Vol. 35, Pgs. 559-564
AB	Patel et al. (1991) Enzyme Microb. Technology, Vol. 13, Pgs. 906-912
AC	Patel et al. (1993) Enzyme Microb. Technology, Vol. 15, Pgs. 1014-1021
AD	Patel et al. (1992) Enzyme Microb. Technology, Vol. 14, Pgs. 731-738
AE	Kometani et al. (1995) Journal of Fermentation and Bioengineering, Vol. 80, No. 2, Pgs. 208-210
AF	
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EXAMINER**DATE CONSIDERED**

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.